

ANTI-VANDAL DOOR LOCK APPARATUS

## BACKGROUND OF THE INVENTION

This invention relates to cylindrical locks for doors, and more particularly to a vandal-resistant cylindrical lock apparatus useful in commercial and other public applications.

A cylindrical lock for a door conventionally includes a spring-loaded retractor for retracting and releasing a latchbolt for unlatching and latching the door. The lock body is mounted in a large bore through the door while the latchbolt is housed in an intersecting smaller diameter bore through the edge of the door. The retractor is operated by rotating either one of an inside handle and an outside handle, with the outside handle generally equipped with a key-actuable lock for preventing rotation of the outside handle. Although cylindrical locks are considered to be economical in terms of their manufacture and installation, they are vulnerable to damage by vandals and burglars such as through destructive manipulation of the cylindrical lock's outside handle. The situation is exacerbated for cylindrical locks fitted with lever handles, as may be required for installation on exterior doors in schools and other public buildings in accordance with applicable regulations, since lever handles exert greater torque on the cylindrical lock assembly than do knob handles.

A more secure type of lock apparatus for a door, although more expensive in terms of both manufacture and installation than a cylindrical lock apparatus, is a mortise lock apparatus in which the latching and locking mechanisms are contained in a rectangular case mounted in a rectangular cavity in the edge of the door. A

T05270-6T02T660

1 conventional mortise lock assembly is equipped with a lock cylinder, key-actuable from  
2 the outside, which enters the lock case independent of the outside handle. When the  
3 key is inserted in the mortise lock cylinder and rotated, a correspondingly rotated cam  
4 pivots an included tail piece which trips the locking mechanism within the lock case. In  
5 some mortise locks, further rotation of the key causes correspondingly further pivoting  
6 of the tail piece for tripping the mechanism for unlatching the door. Since only the face  
7 of the mortise lock cylinder is exposed outside the door, the lock cylinder is extremely  
8 difficult to grab or remove. Further, since the mortise lock mechanism having a locking  
9 and unlocking function typically operates independently of the handles, defeating or  
10 destroying the outside handle of an installed mortise lock - unlike a conventional  
11 cylindrical lock - gets a vandal no closer to gaining unauthorized access.

12 In view of this background, there has existed a need for a door lock having the  
13 economy of manufacture and installation of a cylindrical lock but with the security  
14 advantages of a mortise lock.

## 15 SUMMARY OF THE INVENTION

16 The present invention incorporates a cylinder lock device into a cylindrical lock  
17 apparatus, combining the security features of a mortise lock cylinder with the  
18 manufacturing and installation economies of a cylindrical lock. The cylinder lock  
19 device, which is preferably a mortise lock cylinder, is secured to the outside of the door  
20 or preferably to the outside of a door trim such as a plate secured to the outside face of  
21 the door, with the cylindrical lock mounted to the inside of the plate. A cam secured to  
22 and rotatable with the key-actuable mortise cylinder engages the retractor mechanism of

1 the installed cylindrical lock assembly of the present invention for unlatching the  
2 latchbolt. The assembly is not fitted with an outside handle for unlatching the latchbolt,  
3 and the inside handle preferably includes a key-actuable hold-back feature, employing a  
4 lever handle the rotated position of which is indicative as to whether the hold-back  
5 feature has been engaged. Another preferred feature facilitates secured removal of the  
6 cylinder lock device from the cylindrical lock assembly, such as for re-keying.

7 A preferred embodiment of an anti-vandal door lock apparatus in accordance  
8 with the present invention comprises the combination of: a cylindrical lock assembly  
9 including a latchbolt, a lock body having a retractor for the latchbolt, a spindle  
10 extending from a first side of the lock body and coupled to the retractor for unlatching  
11 the latchbolt upon rotation of the spindle, and a handle secured to the spindle for  
12 rotating the spindle; a cylinder lock (preferably a mortise lock cylinder) including a  
13 housing and a cylinder actuable for rotation in the housing, the cylinder lock extending  
14 from a second side of the lock body opposite the first side; and a cam secured to the  
15 cylinder and rotatable therewith, the cam coupled to the retractor for unlatching the  
16 latchbolt upon rotation of the cylinder. The cylinder lock is preferably key-actuable for  
17 rotating the rotatable cylinder upon rotation of a provided key.

18 The preferred embodiment may further include a door trim securable to a face  
19 of the door, and the lock body is preferably secured to the door trim with the cylinder  
20 lock rotatably actuable from one side of the door trim and the handle of the cylindrical  
21 lock assembly is rotatable from another side of the door trim opposite the first side. The  
22 door trim is preferably a pull plate, including a door-engaging section securable to the

1 door, a pull handle extending from the door-engaging section, and a top edge and a  
2 bottom edge tapering toward the pull handle from the door-engaging section.

3 According to an aspect of a preferred embodiment of the present invention, a  
4 hold-back device is provided in the cylindrical lock assembly, including a lock in the  
5 handle for locking the spindle when the spindle is in a rotated position unlatching the  
6 latchbolt. The handle is preferably a lever handle and is in a rotated position when the  
7 spindle is locked in the hold-back position.

8 The hold-back device is preferably provided by a radial first notch included in a  
9 chassis plate of the lock body, the chassis plate rotationally supporting the spindle  
10 which includes a second notch in radial alignment with the first notch when the spindle  
11 is in a rotated position unlatching the latchbolt; a radially extending member, such as a  
12 tab, carried by the spindle and captured by the first notch; and a lock in the handle  
13 coupled to the tab for moving the tab longitudinally along the notches, when the notches  
14 are radially aligned, between a first longitudinal position captured by the second notch  
15 and a second longitudinal position not captured by the second notch. The lock may be a  
16 bored cylinder lock having a rotatable tail piece, and the hold-back apparatus may  
17 include a rotational-to-translational motion converter carried by the spindle for  
18 converting rotation of the tail piece to longitudinal movement of the tab. The bored lock  
19 cylinder is preferably key-actuated, in which case a key is provided which is insertable  
20 in the bored lock cylinder and rotatable for rotating the tail piece.

21 According to another aspect of the preferred embodiment of the present  
22 invention, the door trim includes an opening, and the apparatus further includes an

1 attachment plate secured to the door trim, the attachment plate including an opening in  
2 registration with the opening in the door trim, the openings permitting insertion of the  
3 cylinder lock therein, the attachment plate adapted to releasably secure the cylinder lock  
4 thereto when the cylinder lock is inserted in the openings. The opening in the  
5 attachment plate and the opening in the door trim are configured for facilitating outward  
6 withdrawal of the cylinder lock upon rotation of the cylinder with the key inserted  
7 therein.

### 8 BRIEF DESCRIPTION OF THE DRAWINGS

9 The novel features believed to be characteristic of the invention, together with  
10 further advantages thereof, will be better understood from the following description  
11 considered in connection with the accompanying drawings in which preferred  
12 embodiments of the present invention are illustrated by way of example. It is to be  
13 expressly understood, however, that the drawings are for the purpose of illustration and  
14 description only and are not intended as a definition of the limits of the invention.

15 FIG. 1 is an exploded perspective view of a preferred embodiment of a door  
16 lock apparatus according to the present invention, viewed generally from inside a room  
17 or building door to which the device may be attached;

18 FIG. 2 is a front elevation view of a preferred embodiment of one configuration  
19 of an anti-vandal pull plate included in the apparatus shown in FIG. 1;

20 FIG. 3 is a cross-sectional view of the pull plate of FIG. 2, taken along the line  
21 3-3 of FIG. 2 in the direction of the appended arrows;

09917019-072504  
T05220-6102160

1 FIG. 4 is an edge elevation view, shown partly in cross-section, of the  
2 assembled spindle/cylindrical lock body/mortise cylinder/pull plate combination of FIG.  
3 1 as installed in a door;

4 FIG. 5 is an exploded view of a disassembled cylindrical lock body and spindle  
5 of FIG. 1, shown axially opposite the arrangement shown in FIG. 1 to facilitate  
6 description thereof;

7 FIG. 6 is an elevation view of the outwardly-directed face of the cylindrical lock  
8 body shown in FIGs. 1 and 5;

9 FIG. 7 is a rear elevation view of the spindle/cylindrical lock body combination  
10 of FIG. 1, as viewed along the line 7-7 of FIG. 4 in the direction of the appended  
11 arrows;

12 FIG. 8 is an elevation view of the face of a preferred embodiment of a chassis  
13 plate device within the cylindrical lock body of FIG. 6;

14 FIG. 9 is a top plan view of the chassis plate device of FIG. 8;

15 FIG. 10a is a view of the chassis plate shown in FIG. 8 in combination with the  
16 cylindrical lock spindle in its normal non-rotated position, viewed along the line 10-10  
17 of FIG. 4 in the direction of the appended arrows;

18 FIG. 10b is the combination shown in FIG. 10a but with the cylindrical lock  
19 spindle rotated for retractively engaging the latchbolt retractor mechanism;

20 FIG. 11 is an inwardly directed elevation perspective view of a preferred  
21 embodiment of a lock cylinder or mortise cylinder for combination with the cylindrical  
22 lock in accordance with a preferred embodiment of the present invention;

05917019-072501  
105220-610216501

1 FIG. 12 is a rear elevation view of a fragment of the pull plate shown in FIG. 1,  
2 with attachment plate securing the mortise cylinder of FIG. 11 thereto, as viewed along  
3 the line 12-12 of FIG. 4 in the direction of the appended arrows;

4 FIG. 13 is a rear view of a preferred embodiment of the attachment plate of  
5 FIG. 12;

6 FIG. 14 is a representation of a preferred configuration of the opening through  
7 the pull plate as shown in less detail in FIG. 2;

8 FIG. 15 is an inwardly directed elevation view of the attachment plate of FIG.  
9 13 secured to the inside face of the pull plate of FIG. 2 in registration with the pull  
10 plate opening of FIG. 14;

11 FIG. 16 is a view of the attachment plate/pull plate opening/mortise cylinder  
12 combination similar to FIG. 12 but shown with the mortise cylinder cam in rotational  
13 position for being installed in or removed from the cylindrical lock body according the  
14 preferred embodiment of the present invention; and

15 FIGs. 17a and 17b represent longitudinal partly cross-sectional views of the  
16 outwardly-directed end of a cylindrical lock spindle showing one type of mechanism for  
17 translating a spindle tab device during implementation of a hold-back feature in  
18 accordance with the preferred embodiment of the present invention.

#### 19 DESCRIPTION OF THE PREFERRED EMBODIMENTS

20 Turning first to FIGs. 1-5, a preferred embodiment of the door lock apparatus  
21 10 according to the present invention includes a door trim, preferably a plate such as a  
22 pull plate 12, secured to the outside face 14 of a door 16; a cylindrical lock assembly

1 18 secured to and inwardly extending from the pull plate 12; and a cylinder lock  
2 assembly 20, key-accessible from the outside, secured to the pull plate 12. The cylinder  
3 lock assembly 20 includes a cylinder lock 21, preferably a mortise lock cylinder, and  
4 the mortise cylinder assembly 20 communicates with the cylindrical lock assembly 18  
5 through an aperture or opening 22 through the pull plate 12. As will be appreciated, the  
6 invention may be implemented by alternative embodiments that do not include a trim or  
7 plate secured to the outside face of the door; other embodiments may include a trim or  
8 plate (which need not be flat) without a pull, and a separate pull handle may be secured  
9 to the outside face of the door.

10 The words "outside" or "outer", when used herein in connection with the door  
11 16 or the pull plate 12, refer to the direction or disposition outside the room or building  
12 to which the door 16 permits persons to gain entry, and the words "inside" or "inner"  
13 refer to the direction or disposition within the room or building served by the door 16.  
14 For example, the outside or outer face 24 of the pull plate 12 faces outwardly of the  
15 room, shown in FIGs. 1 and 4 as facing a direction to the right of the pull plate 12; and  
16 the inside or inner face 26 of the pull plate 12 is facing inside the room (when the door  
17 16 is closed), shown in FIGs. 1 and 4 as facing a direction to the left of the pull plate  
18 12. The outside direction in FIG. 5 is to the left in the drawing.

19 The pull plate 12 is preferably of a type having a midsection 28 for engaging the  
20 door 16, an edge 30 preferably astragal extending along the door edge containing the  
21 cylindrical lock latchbolt 32 and outwardly of the gap between the door edge and door  
22 frame where the latchbolt 32 engages the strike 33 secured to the edge of the frame,



1 and an outwardly extending pull handle 34 along the opposite edge of the pull plate 12.  
2 Examples of such pull plates are shown in U.S. Patent Des. 354,670, as well as in U.S.  
3 Patent Applications Serial Nos. 29/142,165 and 29/142,129, each of which patent and  
4 patent applications are incorporated herein by reference. The curved or sloped top  
5 and/or bottom edges of the pull plate 12 along the outward extension arm 29 between  
6 the midsection 28 and the handle 34, tapering toward the handle 34, in combination  
7 with the cylindrical lock apparatus of the present invention increases the anti-vandal  
8 advantage of the assembly; e.g., a rope or chain looped about the handle 34 will tend to  
9 slip off the pull plate 12 when the rope or chain is pulled.

10 Except as noted later, the cylindrical lock assembly 18 may be of a type well  
11 known in the art, as exemplified by U.S. Patent 4,869,083 of DeMarseilles et al. and  
12 U.S. 4,428,212 of Best et al., the disclosures of which patents are incorporated herein  
13 by reference. In particular, except as modified by the present invention as described  
14 herein, the various components of the cylindrical lock assembly 18 shown in FIG. 1 are  
15 included in commercially available cylindrical lock sets, such as cylindrical lock sets  
16 marketed by Sargent Manufacturing Corporation (of New Haven, Connecticut) under  
17 the designation "10-Line and FW-10 Line Locks." Such prior art cylindrical locks  
18 typically include a cylindrical lock chassis or body containing a latchbolt retractor unit  
19 including a retractor 36 spring-biased against a chassis frame 38 (see FIG. 5). A  
20 conventional cylindrical lock includes an outside chassis plate device and an inside  
21 chassis plate device secured to either side of the retractor frame 38, with a pair of  
22 spindles (each generally similar to the spindle 40 shown in FIG. 5) rotationally

1 supported by the respective chassis plate devices. The conventional cylindrical lock  
 2 body is mounted within a large bored hole (typically 2½ inch diameter) through the  
 3 faces of the door, and a smaller diameter bore (typically 1 inch diameter) extending  
 4 from the edge of the door intersects the larger bore and contains the latchbolt unit 32  
 5 secured to the door's edge. The two spindles extend from opposite sides of the  
 6 conventional cylindrical lock body, one spindle extending inwardly and the other  
 7 extending outwardly, and each spindle may be rotated by means of attached respective  
 8 handles. Upon such rotation of one of the spindles, one ear 42 of a pair of ears 42  
 9 laterally extending from the spindle engages an appropriate one of the retractor's  
 10 bearing surfaces 44 or 44' (one of the two surfaces 44 being hidden in FIG. 5), urging  
 11 the retractor 36 rearwardly edgewise (i.e. to the left as shown in the drawing of FIG. 5)  
 12 against the bias of the springs 46. Since the latchbolt tail piece 48 (FIG. 1) is captured  
 13 between the jaws 50 (FIG. 5) of the retainer 36, rotation of either of the two spindles  
 14 40 causes the latchbolt 32 to be retracted into the door (i.e., unlatching the door);  
 15 release of the handle permits the springs 46 to return the retractor 36 to its unretracted  
 16 position, causing the latchbolt 32 to return to its extended or latching position.

17 The present invention utilizes one spindle 40 and handle 52, and one chassis  
 18 plate device 54, which are located inside as shown in FIGs. 1 and 4. The cylindrical  
 19 lock body 56 includes a housing 58 having an inwardly facing cover portion 60 but  
 20 without an outwardly facing cover portion, i.e. the outwardly directed face of the lock  
 21 body 56 exposes the outwardly facing side of the assembled retractor 36 and chassis  
 22 frame 38 within the housing 58, such as shown in FIG. 6. The chassis frame 38 is

1   secured within the housing 58, while securing the chassis plate device 54 with its plate  
2   portion 62 secured between the inwardly facing side of the retractor 36 and the  
3   housing's inwardly facing cover portion 60, by means of inwardly extending chassis  
4   frame prongs 64 projecting through correspondingly positioned chassis plate slots 66  
5   (see also FIG. 8) and thence correspondingly positioned slots 68 in the housing's  
6   inwardly facing cover portion 60 (see also FIG. 7). An externally threaded tubular  
7   portion 70 of the chassis plate device 54 inwardly extends through a central aperture 72  
8   in the housing's cover portion 60. The spindle 40 longitudinally extends within and is  
9   rotationally supported by the chassis plate tubular portion 70, with the spindle's ears 42  
10   positioned between the outwardly directed face of the chassis plate 62 and the retractor  
11   36 forwardly edgewise of the bearing surfaces 44 so as to permit operative engagement  
12   therewith as is well known in the art.

13       According to the preferred embodiment of the present invention, the lock body  
14   56 and spindle 40 assembly is secured to the pull plate 12 with the outwardly facing  
15   side of the retractor 36 operatively accessible through the pull plate aperture or opening  
16   22. The outside diameter of the lock body housing may be approximately 2 inches, the  
17   height of the retractor 36 may be  $1\frac{3}{16}$  inches, the length of the retractor 36 may be  
18   approximately  $1\frac{1}{8}$  inches, and the pull plate opening 22 may be approximately  $1\frac{1}{8}$   
19   inches. The center of the pull plate opening 22 is preferably aligned slightly above the  
20   center of the substantially circular lock body housing 58, for reasons that will be  
21   apparent later in this description. In one manner of securing the cylindrical lock body  
22   56 to the pull plate 12, the lock body 56 is positioned with the outwardly-directed

1 circumferential edge 74 of the lock body housing 58 adjacent to or contacting the pull  
2 plate inner surface 26 (the outwardly directed prongs 76 of the chassis frame 38 having  
3 been shortened to permit such positioning), or preferably adjacent to or contacting an  
4 attachment plate 78 (see also FIG. 12) secured to the pull plate inner surface 26 (such  
5 as by soldering) and having an aperture or opening 80 therethrough aligned with the  
6 pull plate opening 22 as later described. A plurality of inwardly directed internally  
7 threaded posts 82 are secured (such as by soldering) to the attachment plate 78, or  
8 directly to the pull plate 12 in the absence of an attachment plate 78. In the preferred  
9 embodiment, the posts 82 longitudinally extend into the lock body 56 and are of a  
10 length such that their inner ends are adjacent to or contact the chassis plate 62 at or  
11 about respective apertures 84 which in turn are aligned with respective apertures 86 in  
12 the housing cover portion 60 through which cap screws 88 are inserted for threadably  
13 engaging the posts 82 (FIGs. 1, 7 and 8).

14 The preferred embodiment of the present invention utilizes a lock cylinder of a  
15 type conventionally used in mortise locksets, in combination with the cylindrical lock  
16 assembly 18, for unlatching the cylindrical lock latchbolt 32 by outside key operation.  
17 Mortise locks and the function and operation of mortise lock cylinders are discussed in  
18 U.S. Patent 5,992,195 of Huang et al. and in U.S. Patent 6,178,794 to Eller et al., the  
19 disclosures of each of which patents are incorporated herein by reference.

20 Turning to FIGs. 1, 4 and 11, the mortise lock cylinder assembly 20 according  
21 to the present invention includes a mortise lock cylinder 21 including a generally  
22 cylindrical housing 90 and an internal cylinder 92 which is rotatable within the housing

1 90 by insertion and rotation of a key 94. Such mortise cylinders are commonly  
2 available, for example the "40 Series" mortise cylinders marketed by Sargent  
3 Manufacturing Corporation, and the cylindrical housing 90 may include threads 96  
4 adjacent its rear end and longitudinal notches or grooves 98, 98' (FIGs. 1, 11, 12 and  
5 16) horizontally spaced apart (at the 3 o'clock and 9 o'clock positions) when the  
6 mortise cylinder 21 is secured to the pull plate 12 which in turn is secured to the door  
7 16. The rear end of the key-rotatable cylinder 92 (i.e., its inwardly facing end when the  
8 cylinder housing 90 is secured to the pull plate 12) has secured thereto a cam which is  
9 rotatable with the key-operated cylinder 92, for operating the retractor 36 by engaging  
10 and disengaging the retractor's bearing surfaces 44' (FIGs. 5 and 6). As shown in FIG.  
11 1, the cam 100 may include a pair of lateral projections or ears 102 similar to the ears  
12 42 of the spindle 40 and which operate upon the retractor bearing surfaces 44' upon  
13 key-rotation of the cylinder 92 in similar manner as do the ears 42 operating upon the  
14 retractor bearing surfaces 44 upon rotation of the spindle 40. Another preferred  
15 embodiment of the cam is shown in FIG. 11, represented by reference numeral 104,  
16 and has further advantages as described below. The assembly 20 preferably includes a  
17 cylindrical collar 106 about the forward portion of the cylinder 90 and longitudinally  
18 captured between the pull plate 12 and a forward rim 108 of the cylinder 90.

19 The mortise cylinder 21 is secured to the pull plate 12 by inserting the rear end  
20 of the cylinder 21 through the pull plate opening 22 with the cam 102 or 104 in  
21 operative engagement with the cylindrical lock retractor 36 inwardly of the pull plate  
22 12. Although a threaded nut may be threaded upon the cylinder's threads 96 and

1 inwardly engage the pull plate inner surface 26, it is preferred that securement be  
 2 implemented by means of the attachment plate 78. The mortise cylinder 21 is positioned  
 3 in the pull plate opening 22 such that the internal key cylinder 92 is at the 6 o'clock  
 4 position; when using a mortise cylinder 21 having the longitudinal grooves 98, 98', the  
 5 opening 22 may include centrally oriented circumferential protrusions 110 (FIG. 14) at  
 6 the 3 o'clock and 9 o'clock positions, respectively, for engaging the cylinder's grooves  
 7 98' and 98. The cylinder 90 extends through the pull plate opening 22 and the  
 8 attachment plate opening 80 such that the cam 104 (or 100) is positioned for engaging  
 9 the retractor bearing surfaces 44'. The cylinder 90 is releasably secured in this position  
 10 by set screws 112, threadedly engaged in threaded lateral bores 114 through the  
 11 attachment plate 78, engaging the mortise cylinder 90 at the respective grooves 98, 98'  
 12 (FIGs. 1 and 13). In one example, the mortise cylinder 90 was approximately  $1 \frac{5}{32}$   
 13 inches in diameter and  $1 \frac{1}{8}$  inches long, the thicknesses of the pull plate 12 and  
 14 attachment plate 78 were approximately  $\frac{3}{32}$  and  $\frac{13}{32}$  inch respectively, and the collar  
 15 106 was approximately  $\frac{21}{32}$  inch long with a  $\frac{1}{8}$  inch internal circumferential recess for  
 16 receiving the cylinder's  $\frac{1}{8}$  inch cap shoulder.

17 The pull plate 12 with the secured lock body 56/spindle 40 assembly and the  
 18 secured mortise lock cylinder assembly 20, is secured to the outer face 14 of the door  
 19 16. In one manner of effecting such securement, the pull plate's rear or inner surface  
 20 26 has secured thereto (as by soldering) a plurality of inwardly extending internally  
 21 threaded posts 116 (such as the six posts 116 shown in FIGs. 1 and 12, typically of  
 22 copper) spaced about the aperture 22 for registration with the plurality of openings in

1 support plate 118 and the plurality of spaced apertures in rose 120. Alternatively, the  
2 soldered copper posts 116 may be replaced by conventional internally threaded sex  
3 bolts extending through apertures in the plate 12.

Sub  
C1  
4 The cylindrical lock body 56 is positioned within the large bored hole 120  
5 (typically 2½ inches in diameter) through the faces of the door 16, intersecting a  
6 smaller diameter bore containing the latchbolt unit 32 at the door's edge (such bores  
7 being conventional as previously described), and the posts 116 (which are slightly  
8 shorter than the width of the door 16) extend within respective bores 124 parallel to and  
9 spaced about the large bore 122. An internally threaded spacer hex nut 126 threadedly  
10 engages the threads on the tubular portion 70 of the chassis plate device 54 while  
11 securing the support plate 118 against the door's inner face. Securement is completed  
12 by installing the rose 120 with the screws 128 extending through the apertures in the  
13 rose 120 and threadedly engaging the respective internally threaded posts 116, and  
14 positioning the rose scalp 130 in place. The handle 52 is then installed onto the spindle  
15 40 with spacer bushing 132 in place. The installation of cylindrical door locks  
16 employing a handle 52, a support plate 118, a spacer nut 126, a rose 120, a rose scalp  
17 130, and a spacer bushing 132 is well known; see, for example, the disclosure of U.S.  
18 Patent 4,869,083, incorporated herein by reference.

19 When installed on a door, the present invention provides a cylindrical lock  
20 having increased security against unauthorized entry and vandalism, while permitting  
21 free egress and authorized entry. The door may be latched and unlatched from inside by  
22 rotating the handle, while the door may be unlatched from outside only with a key in

1 which case entry may be gained by pulling the pull handle 34 of the pull plate 12. Since  
2 only the face of the mortise cylinder 21 and its collar 106 are exposed on the outer face  
3 of the door, it is extremely difficult for a prospective vandal to grab or remove the  
4 mortise lock 21 and to damage the cylindrical lock assembly 18. In a preferred  
5 embodiment of the pull plate 12, its overlapping astragal edge 30 prevents destructive  
6 access to the cylindrical lock's latchbolt 32 while its curved top and bottom edges  
7 tapering toward the pull handle 34 prevent forced entry by a looped rope or chain as  
8 previously discussed.

9 The mortise cylinder assembly 20 may be removed from the pull plate 12 (to  
10 permit re-keying thereof), by removing certain of the components of the cylindrical  
11 lock assembly 18 situated inside the door. A feature of the preferred embodiment  
12 precludes removal of the mortise cylinder assembly 20 without the mortise cylinder key  
13 94 operating the rotatable cylinder 92 of the mortise cylinder 21, as described below.

14 Turning to FIG. 11, the preferred mortise cylinder cam 104 includes a disk 134  
15 (of about 0.75 inch diameter and 0.115 inch thickness in one example) attached to the  
16 rear face of the key-rotatable cylinder 92 and concentric therewith such that the disk  
17 134 rotates with key-rotation of the cylinder 92. An arcuate member 136 (of  
18 approximately 0.625 inch outside radius extended through an arc of approximately 162°  
19 in this example), attached along the periphery of the disk 134, projects rearwardly (i.e.  
20 inwardly) from the front (i.e. outwardly facing) surface of the disk (by about 0.260  
21 inch in this example); the arcuate member 136 may be attached to the disk 134 as by  
22 soldering, or the disk 134/arcuate member 136 may be cast or machined in one piece.



1 The arcuate member 136 terminates at cam ends 138. The disk 134 is attached to the  
2 rotatable cylinder 92 such that the convex peripheral wall 140 of the arcuate member  
3 136 faces the cylindrical lock latchbolt 32 and the cam ends 138 are horizontally  
4 equidistant from a vertical centerline 142 when the key 94 is not inserted in the  
5 rotatable cylinder 92 (i.e. when the cylinder 92 is in its normally "locked" condition);  
6 see FIG. 12. The peripheral wall 140 of the arcuate member 136 contains two  
7 longitudinal notches 144 symmetrically spaced apart along the peripheral wall 140 by  
8 approximately 90° (the notches 144 spaced approximately 45° from a horizontal  
9 centerline 143 when in the "locked" condition). The position of the center of the  
10 rotatable cylinder 92 on the rear face of the mortise cylinder 21 and the diameter of the  
11 disk 134 results in the circumference of the disk 134 extending slightly below the  
12 circumference of the mortise cylinder housing 90 (by approximately  $\frac{1}{8}$  inch in this  
13 example).

14 FIG. 12 shows the mortise cylinder assembly 20 installed on the pull plate 12,  
15 through the pull plate opening 22 (FIGs. 2 and 14) and the opening 80 through the  
16 attachment plate 78 (see also FIGs. 13 and 15). Although not shown in FIG. 12 for  
17 purposes of clarity of description, the mortise cylinder housing 90 is rotationally  
18 oriented and constrained against rotation from such orientation by the pull plate  
19 horizontally disposed lateral projections 110 (FIG. 14) inserted along the mortise  
20 cylinder's longitudinal grooves 98 and 98' (groove 98' is shown in FIG. 16), and the  
21 mortise cylinder housing 90 is rotationally and longitudinally secured to the attachment  
22 plate 78 by the set screws 112 engaging cylinder 90 at the grooves 98, 98' as

1 previously described. The mortise cylinder 90 is therefore rotationally fixed with the  
 2 arcuate cam member 136 rotationally positioned with the rotatable cylinder 92 in its  
 3 key-removed locked condition as shown in FIG. 12. When the key 94 is inserted in the  
 4 mortise cylinder 90 and the rotatable cylinder 92 is rotated in either direction, one of  
 5 the pair of cam ends 138 coercively engages a corresponding one of the pair of  
 6 retractor bearing surfaces 44' (FIG. 6), urging the retractor 36 laterally rearwardly (i.e.  
 7 to the left as shown in the drawing of FIG. 12) against the bias of the springs 46,  
 8 thereby causing the latchbolt 32 to be retracted into the door 16 to the latchbolt's  
 9 unlatched position. When the key is released, the springs 46 return the retractor 36 to  
 10 its unretracted position, causing the cam 104 and hence the rotatable cylinder 92 to  
 11 return to their normal condition as shown in FIG. 12 whereupon the key 94 may be  
 12 removed with the latchbolt 32 in its extended or latched position.

13 When installing the mortise cylinder 21 on the pull plate 12, the rotatable  
 14 cylinder 92 is key-rotated until one of the notches 144 on the arcuate cam member 136  
 15 is rotationally aligned with one of the longitudinal grooves 98 or 98' along the mortise  
 16 cylinder housing 90 and with the portion of the arcuate member 136 between the  
 17 notches 144 positioned within the upper semicircle of the mortise cylinder housing 90.  
 18 Alignment of a notch 144 with the groove 98' is shown in the example of FIG. 16. The  
 19 pull plate opening 22 is configured with cutouts 146 immediately below the projections  
 20 110, each cutout generally conforming to (and slightly larger than) the profile of the  
 21 end portions 148 of the arcuate cam member 136 between the notch 144 and a cam  
 22 surface 138. Although the general circular outline of the pull plate opening 22 is of

1 diameter slightly greater than the diameter of the mortise cylinder housing 90, the  
2 opening 22 in this preferred embodiment includes at its 6 o'clock position an arcuate  
3 extension generally conforming to the portion of the circumference of the disk 134  
4 extending below the circumference of the mortise cylinder housing 90; in FIG. 14, the  
5 dashed curve above the arcuate extension 150 represents a phantom continuation of the  
6 otherwise generally circular configuration of the opening 22. The attachment plate 78  
7 (FIG. 13), includes cutout portions 152, 152' generally conforming to the cutout  
8 portions 146 of the pull plate opening 22, as well as an arcuate extension 154 (generally  
9 conforming to the arcuate extension 150 of the pull plate opening 22) depending from  
10 the circular portion of the attachment plate opening 80 having a diameter slightly  
11 greater than the diameter of the mortise cylinder housing 90. The attachment plate  
12 opening 80 further includes an arcuate cutout 156 from the opening's 3 o'clock to 6  
13 o'clock positions, for facilitating entry of the cam arcuate member 136 into engageable  
14 position with the retractor 36. FIG. 15 shows the configuration of the opening resulting  
15 when the attachment plate 78 is secured to the pull plate 12 with the pull plate opening  
16 22 and the attachment plate opening 80 in registration. The cam 104 is preferably  
17 positioned in its entirety rearwardly (i.e. inwardly) of the rear (inwardly facing) surface  
18 158 of the attachment plate 78, and the recess 160 provided by the attachment plate  
19 arcuate cutout 156 rearwardly (inwardly) of the pull plate 12 facilitates installation of  
20 the cam arcuate member 136 into engageable position with the retractor 36, as well as  
21 facilitating removal of the mortise cylinder assembly 20 from the pull plate 12 and  
22 cylindrical lock assembly 18 when desired.

1           A feature of the invention is the ease of removal of the mortise cylinder  
2 assembly 20 from the pull plate 12 and cylinder lock assembly 18, such as for re-  
3 keying. Referring to FIGs. 1 and 4, the door lock apparatus 10 is dismounted from the  
4 door 16 by removing the handle 52, the rose scalp 130, the rose 120, the hex spacer nut  
5 126, and the support plate 118, all from the inside of the door 16. The pull plate 12  
6 with attached cylindrical lock body housing 58/spindle 40 assembly and attached  
7 mortise lock cylinder assembly 20 may then be outwardly removed from the door 16.  
8 With the key 94 in the rotatable cylinder 92 of the mortise cylinder 21, the two set  
9 screws 112 are loosened and the key 94 is then turned to rotate the cam 104 as needed  
10 to make its way through the attachment plate opening 80 and the pull plate opening 22  
11 and their combined labyrinth of cutouts, while outwardly withdrawing the mortise  
12 cylinder 21 with its longitudinal grooves 98, 98' in registration with the pull plate  
13 opening protrusions 110. The provision that the key 94 be in the mortise cylinder 21 for  
14 effecting removal of the mortise cylinder assembly 20 from the pull plate 12 is for  
15 increased assurance that re-keying is performed by an authorized person. Re-keying  
16 may be alternatively or further facilitated by utilizing a removable or interchangeable  
17 core cylinder (for example, Schlage Model No. 30-008).

18           A hold-back capability may be provided as a feature of the preferred  
19 embodiment of the present invention. The handle 52 (FIG. 1) may be equipped with a  
20 locking device, such as a conventional key-operable bored lock cylinder 162 (with a  
21 conventional cylinder retainer 164 and cylinder spacer 166) operable in combination  
22 with mechanisms in the spindle 40 and the cylindrical lock body 56, for holding the

1 handle 52 in a rotated position to maintain the latchbolt 32 in its retracted position. In  
2 this manner, the door 16 is converted to one that remains unlatched and may be opened  
3 by a push from the inside or a pull from the outside. Unlocking the locking device from  
4 the inside returns the cylinder lock assembly 18 to normal operation where the normally  
5 latched door may be unlatched when the handle 52 is rotated.

6 In a conventional cylindrical lock assembly, such as described in U.S. Patents  
7 4,869,083 and 4,428,212, the lock body 56 includes both an inner chassis plate device  
8 and an outer chassis plate device respectively coupled to an inside spindle and an  
9 outside spindle operatively attached to respective inside and outside handles. A  
10 conventional outside handle may include a locking device for operating a mechanism in  
11 the outside spindle that cooperates with the outer chassis plate device for preventing  
12 rotation of the outside spindle while the door is latched in order to prevent the door  
13 from being opened from the outside without a key, such as embodied in the  
14 commercially available locksets marketed by Sargent Manufacturing Corporation under  
15 the designation "10-Line NFW-Line Locks." The outside handle of such locksets is  
16 provided with a bored lock cylinder similar to the bored lock cylinder 162 shown in  
17 FIG. 1. The outside chassis plate device is provided with a radial notch horizontally  
18 positioned along the direction of retractor retraction and extending from the chassis  
19 plate of the outside chassis plate device through its tube portion, for example the notch  
20 168 shown in FIG. 8 and in phantom in FIG. 9. The outside spindle 40 is also provided  
21 with a longitudinal notch 170 (see FIG. 17a) diametrically opposite the spindle's ears.  
22 When the spindle 40 is in its normal latching position, the spindle notch 170 is radially

1 aligned with the notch 168 in the stationary chassis plate. A radially extending member  
2 or tab 172 (shown in FIGs. 10 and 17), is supported within the spindle 40 such that the  
3 spindle is rotatable with respect to the tab 172 and the tab 172 is longitudinally  
4 translatable with respect to the spindle 40. The tab 172 is rotationally trapped within the  
5 chassis plate notch 168. When the spindle 40 is rotationally in its normal latching  
6 position, the spindle notch 170 is radially aligned with the chassis plate notch 168.  
7 When the bored lock cylinder 162 in the outside handle is in its unlocked condition, the  
8 tab 172 is longitudinally beyond the spindle notch 170, so that the spindle is free to  
9 rotate with rotation of the outside handle. Rotation of an inserted key in the bored lock  
10 cylinder 162 causes lock stud or tail piece 174 to similarly rotate, in turn causing tab  
11 172 to longitudinally travel into the spindle notch 170, preventing the spindle 40 and its  
12 attached handle from being rotated, thereby causing the door to be locked from the  
13 outside. Rotational-to-translational motion converter devices are well known, including  
14 the device shown in FIG. 17 where rotation of internally threaded bushing 176 by the  
15 bored lock cylinder stud 174 causes translation of screw support 178 upon which the  
16 tab 172 is radially mounted.

17 The hold-back feature of the present invention, in a preferred embodiment, is  
18 implemented by employing on the inside of the door 16 the handle with contained bored  
19 lock cylinder 162, the spindle 40 configured with the rotational-to-translational motion  
20 converter and tab 172 as in FIG. 17 -- each of which is conventionally employed on the  
21 outside of the door -- in combination with the chassis plate device 54 according to the  
22 present invention.

1 As shown in FIGs. 5, 8 and 9, the chassis plate device 54 includes at least one  
2 and preferably two radially disposed notches 180 similar to the notch 168 but angularly  
3 displaced therefrom (i.e. angularly displaced from the horizontal diameter d of the  
4 chassis plate 62 when installed) by an angle  $\alpha$  equal to the angle of rotation of the  
5 spindle for unlatching the latchbolt 32, for example by  $55^\circ$ . The provision of two  
6 notches 180 is for accommodating both right and left handed doors.

7 The tab 172 is positioned within and captured by one of the chassis plate notches  
8 180, as shown in FIG. 10. FIG. 10a shows the spindle 40 in its normal position when  
9 the door is latched, with the spindle notch 170 rotationally positioned along the  
10 horizontal diameter d of the chassis plate 62 (i.e. in radial alignment with the prior art  
11 chassis plate notch 168). In FIG. 10a, the rotational position of the handle 52 is  
12 horizontal, as represented by the line 182. When the handle 52 is rotated to the door  
13 unlatching position, represented in FIG. 10b by the rotated line 182, the spindle 40 is  
14 rotated such that the spindle notch 170 is positioned in radial alignment with the chassis  
15 plate notch 180 in which the tab 172 is captured. The hold-back feature of the present  
16 invention may be activated at this point, by inserting and turning the key 184 in the  
17 bore lock cylinder 162 of the handle 52, causing the tab 172 to longitudinally retract  
18 into the spindle notch 170 and, since the tab 172 remains captured in the stationary  
19 chassis plate notch 180, the spindle 40 is maintained or locked in this unlatched door  
20 position with the lever handle 52 angularly disposed along line 182 as shown in FIG.  
21 10b. The fact of the lever handle 52 being locked in its rotated position is a visual  
22 indicator as to the hold-back feature being engaged, an indication which is of

09917019-072501  
T05220-6T02T660

1 importance in public applications and of particular importance in school applications. In  
2 this position, the door may be opened by a push from the inside or a pull from the  
3 outside.

4 When it is desired to release the hold-back and return the door to normal  
5 operation in accordance with the present invention, the key 184 is inserted in the bored  
6 lock cylinder 162 of the handle 52 and rotated in the opposite direction until the tab 172  
7 longitudinally travels beyond the spindle notch 170, releasing the spindle and permitting  
8 its rotation for permitting normal latching and unlatching of the door.

9 The provision of a bored lock cylinder 162 in the handle 52 further assures that  
10 re-keying of the mortise cylinder 21 is performed by authorized personnel, since  
11 removal of the handle 52 (by conventional push-pin depression of a lever catch in the  
12 spindle) from its spindle 40 requires that the key 184 be inserted and rotated in the lock  
13 162. This feature, which is conventional for outside handles, provides added security  
14 when applied to the inside handle 52.

Sub 15 Thus there have been described preferred embodiments of a door lock apparatus  
16 in which a cylinder lock such as a mortise lock cylinder is employed for unlatching a  
17 cylindrical lock assembly. The mortise lock cylinder is preferably secured to the outside  
18 of the door trim such as a pull plate, with the cylindrical lock mounted to the inside of  
19 the pull plate, and the pull plate is mounted to the door, in such manner as to effect an  
20 anti-vandal door lock assembly. Preferred embodiments include a hold-back feature, as  
21 well as a feature for facilitating secured removal of the mortise lock cylinder as for re-  
22 keying, although other preferred embodiments need not include such features. Handles



C2 1 other than the preferred lever handle for the cylindrical lock assembly, including knob  
2 handles, may be utilized. Although the two cylindrical locks 92 and 162 are preferably  
3 key-actuated, other types of actuator devices may be employed, for example electronic,  
4 magnetic, optical or computer coded devices. It may appreciated that other  
5 embodiments of the present invention, and variations of the embodiments described  
6 herein, may be developed without departing from the essential characteristics thereof.  
7 Accordingly, the invention should be limited only by the scope of the claims listed  
8 below.

09917019.072501